



30163

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PTO/SB/21 (12-97)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/666,528	
	Filing Date	9/19/2003	
	First Named Inventor	McCollor, Donald	
	Group Art Unit	1754	
	Examiner Name		
Total Number of Pages in This Submission	5	Attorney Docket Number	EER.P0014

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Johnson & Associates Bruce A. Johnson
Signature	
Date	March 29, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Bruce A. Johnson		
Signature		Date	March 29, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): McCollor et al.

Serial No.: 10/666,528

Filed: 9/19/2003

Title: METHOD AND APPARATUS FOR
CAPTURING GAS PHASE
POLLUTANTS SUCH AS SULFUR
TRIOXIDE

Group Art Unit:

1754

Examiner:

Attorney Docket No.: EER.P0014

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

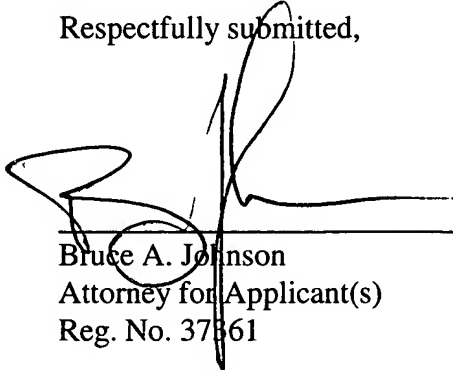
This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
 - ☒ (1) Certification under 37 CFR 1.97(e), or
 - ☐ (2) a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
 - ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a \$180.00 fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ under 37 CFR 1.97(i)
(Not filed under either § 1.97 or § 1.98. IDS to be placed in the file)
- ☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware,

which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Bruce A. Johnson
Attorney for Applicant(s)
Reg. No. 37861

Date: March 29, 2004

Telephone No.: 512-301-9900



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

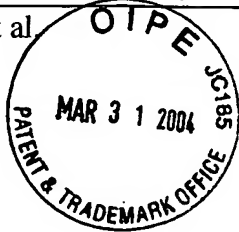
Inventor(s): McCollor et al.

Serial No.: 10/666,528

Filing Date: 9/19/2003

Title: METHOD AND APPARATUS FOR
CAPTURING GAS PHASE POLLUTANTS
SUCH AS SULFUR TRIOXIDE

Attorney Docket No.: EER.P0014



Examiner:

Group Art Unit: 1754

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STATEMENT UNDER 37 CFR 1.97(e)

The undersigned hereby certifies that either:

- ☒ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Bruce A. Johnson".

Bruce A. Johnson
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Date: 3-29-04

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